



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Allen E. Norton et al.  
Serial No.: 10/802,019  
Date Filed: March 16, 2004  
Confirmation No.: 2683  
Group Art Unit: 3617  
Examiner: McCarry Jr., Robert J.  
Title: ROOF ASSEMBLY AND AIRFLOW  
MANAGEMENT SYSTEM FOR A TEMPERATURE  
CONTROLLED RAILWAY CAR

MAIL STOP AMENDMENTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as Express Mail No. **EV351293156US** addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on August 9, 2004.

Signature

Dear Sir:

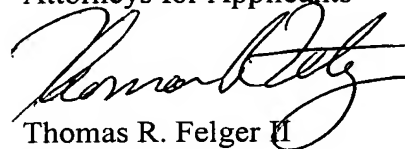
**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified divisional application. Since the present Application was filed after June 30, 2003, a copy of any U.S. Patent and any U.S. Patent Application Publication cited on the attached PTO Form 1449 is not being submitted with this Information Disclosure Statement pursuant to the July 11, 2003 waiver of 37 C.F.R. §1.98(A)(2)(i) by the U.S. Patent and Trademark Office. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

Applicants enclose a check in the amount of \$180.00 and believe no further fees are due for this Information Disclosure Statement, however, the Commissioner is hereby authorized to charge any additional fees to Deposit Account No. 02-0384 of Baker Botts L.L.P. in order to effectuate this filing.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Thomas R. Felger II  
Reg. No. 28,842

Date: 5 AUG 2004

Correspondence Address:

At Customer No. **05073**

Telephone: 512.322.2599  
Facsimile: 512.322.8305

PTO-1449  <b>Information Disclosure Citation in an Application</b>	Application No. 10/802,019	Applicant(s) Norton et al.	
	Docket Number 091078.1289	Group Art Unit 3617	Filing Date March 16, 2004

## U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A.	3206946	9/21/65	Lindersmith et al.	62	407	12/6/63
B.	Re. 26131	12/27/66	Johansson	105	409	12/15/64
C.	3323471	6/6/67	Dean et al.	105	401	9/13/65
D.	3481642	12/2/69	Bonallack et al.	296	31	6/14/67
E.	5088434	2/18/92	Harding	114	85	12/21/90
F.	5109777	5/5/92	Ohmura et al.	105	401	8/9/90
G.	5113769	5/19/92	Okuno et al.	105	422	6/6/89
H.	5333554	8/2/94	Yamada et al.	105	397	4/24/92
I.	5460290	10/1995	Hanning et al.	220	694.1	
J.	5685229	11/11/97	Ohara et al..	105	397	5/31/95
K.	5802984	9/1998	Thoman et al.	105	404	
L.	6227125	5/8/01	Schroeder et al.	105	401	5/3/97

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
M.							
N.							

## NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
O.	Trinity Vision News Letter - Railcar News from Trinity Industries, Fall 2000 pp 1-8	2000
P.		
Q.		
R.		

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.